

PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
OFFI Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF U

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

		,	
Sheet	1	of	1

Complete if Known					
Application Number	10/664,575-Conf. #3611				
Filing Date	September 17, 2003				
First Named Inventor	Jonathan Fanger				
Art Unit	3733				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	101896-0208				

	U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where			
Initials*	No.1	Number-Kind Code ² (# known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear			
ILS.	AA*	US-6,454,769-A1	09-24-2002	Wagner et al.				
	AB*	US-6,235,033	05-22-2001	Brace et al.				
	AC*	US-20030229348-A1	12-11-2003	Sevrain				
JLS	AD*	US-20020147450-A1	09-21-2004	LeHuec et al.				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁶ (<i>I known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

*EXAMINER: tritial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. *Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T?			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	/James L Swiger/ (04/29/2006)	Date 04/29/2006
Signature	/Uames i Swiger/ (04/23/2000)	Considered 04/29/2006
Sidilature		Considered

^{&#}x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.

JAN 2 2 2004

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
the Paperwork Reduction of Information unless it contains a valid OMB control number.

Sut	stitute for form 1449A/	B/PTO		Complete if Known		
				Application Number	10/664,575-Conf. #3611	
11	NFORMATI	ON DIS	CLOSURE	Filing Date	September 17, 2003	
S	TATEMEN	T BY A	PPLICANT	First Named Inventor	Jonathan Fanger	
_				Art Unit	3739	
	(Use as man	y sheets as r	necessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	101896-0208	

			U.S. PA	TENT DOCUMENTS			
Examiner	C::-	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
SERVE A	Cite No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
Al		US-6,419,678-B1	07-16-2002	Asfora			
		US-6,416,518-B1	07-09-2002	DeMayo			
		US-6,379,364-B1	04-30-2002	Brace et al.			
		US-6,342,057-B1	01-29-2002	Brace et al.			
		US-6,342,056-B1	01-29-2002	Mac-Thiong et al.			
1		US-6,235,034-B1	05-22-2001	Bray			
		US-5,851,207-B1	12-22-1998	Cesarone			
		US-5,755,721-B1	05-26-1998	Heam			
		US-5,558,622-B1	09-24-1996	Greenberg			
		US-5,423,826-B1	06-13-1995	Coates et al.			
		US-5,303,694-B1	04-19-1994	Mikhail			
*		US-4,686,972-B1	08-18-1987	Kurland			
CD	7	US-4,502,475-B1	03-05-1985	Weigle et al.			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁶ (if known)	Publication Date MM-OD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T®		
					1			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Mind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1291417.1

Examiner Signature Date Considered 4/29/06

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

DRILL GUIDE WITH ALIGNMENT FEATURE

Application Number:

10/664575

Confirmation Number:

3611

First Named Applicant:

Jonathan Fanger

Attorney Docket Number:

101896-0208

Art Unit:

3739

Examiner:

Not Yet Assigned

Search string:

(6565571 or 2466023 or 5676666 or 20020082606 or 20010047172).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
A	AA	6565571	2003-05-20	Andre Jackowski			
7	AB	2466023	1949-04-05	Francis J. Griffin			
Sp	AE	5676666	1997-10-14	THOMAS R. OXLAND			

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
AC AC	20020082606	2002-06-27	Loubert Suddaby			
	20010047172	2001-11-29	Kevin Foley			

Signature

Examiner Name	Date
(Duige	4/29/06

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

DRILL GUIDE WITH ALIGNMENT FEATURE

Application Number:

10/664575

Confirmation Number:

3611

First Named Applicant:

Jonathan Fanger

Attorney Docket Number:

101896-0208

Art Unit:

3739

Examiner:

Not Yet Assigned

Search string:

(6332887 or 6712818 or 20020049444).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	AB Y	6332887	2001-12-25	BENJAMIN D. KNOX			
1/1		6712818	2004-03-30	Gary Michelson			

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init Cité.No	Pub. No.	Date	Applicant	Kind	Class	Subclass
A AA	20020049444	2002-04-25	Benjamin Knox			

\$ignature

Examiner Name	Date
(mge	4/29/06

PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0551-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sut	Substitute for form 1449A/B/PTO			Complete If Known		
"		•		Application Number	10/664,575-Conf. #3611	
11	IFORMATION	I DI	SCLOSURE	Filing Date	September 17, 2003	
l s	TATEMENT B	3Y /	APPLICANT	First Named Inventor	Jonathan Fanger	
				Art Unit	3739	
	(Use as many sh	eets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	101896-0208	

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			

	FOREIGN PATENT DOCUMENTS							
Examiner Initials.	Cite No.	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵		
		WO-03/007826	01-30-2003	SDGI Holdings, Inc.				

°E/AMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not onsidered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ¹ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Cite Initiats No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T				
H	Product Literature, by SYNTHES Spine, "The Cervical Spine Locking Plate" CSLP, 2000	\angle				

EXAMINER: Initial if reference considered, whether or not cliation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date Examiner Signature Considered

^{&#}x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

DRILL GUIDE WITH ALIGNMENT FEATURE

Application Number:

10/664575

Confirmation Number:

3611

First Named Applicant:

Jonathan Fanger

Attorney Docket Number:

101896-0208

Art Unit:

3739

Examiner:

Not Yet Assigned

Search string:

(5603713).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init/ Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
AA AA	5603713	1997-02-18	GILBERT M. AUST			

Signature

Examiner Name	, Date
Mige	4/29/06

OTPE CONTRACTOR RED

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006, OMB 0551-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Subs	Substitute for form 1449A/B/PTO			Complete If Known		
				Application Number	10/664,575-Conf. #3611	
IN	FORMATION	N DIS	SCLOSURE	Filing Date	September 17, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Jonathan Fanger	
_				Art Unit	3739	
	(Use as many sh	eets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	101896-0208 (DEP-5150)	

	U.S. PATENT DOCUMENTS						
Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
Initiats	No.	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
A.		US-5,180,381	01-19-1993	Gilbert M. Aust			
(124)		US-2004/0092947-A1	05-13-2004	Kevin Foley			
7721		US-2004/0015174-A1	01-22-2004	William Null			
W		US-2003/0233098	12-18-2003	Aaron Markworth			

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
	No.	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	т°
		WO-03/024344	03-27-2003	KIM, Kee, D.		

**EAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Mind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposlum, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
			<u> </u>	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Signature Date Considered 4/29/06

^{&#}x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.

JAN 1 7 2006 &

PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO		Complete if Known				
				Application Number	10/664,575-Conf. #3611	
11	NFORMATIO	N DI	SCLOSURE	Filing Date	September 17, 2003	
S	STATEMENT BY APPLICANT			First Named Inventor	Jonathan Fanger	
_				Art Unit	3733	
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	101896-0208	

			U.S. PA	TENT DOCUMENTS		
	030	Document Number Publication D		Name of Patentee or	Pages, Columns, Lines, Where	
	Cite No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
Ch.	AA*	US-6,454,769-A1	09-24-2002	Wagner et al.		
C.A.	AB*	US-6,235,033	05-22-2001	Brace et al.		
WH.	AC*	US-20030229348-A1	12-11-2003	Sevrain		
Ch	AD*	US-20020147450-A1	09-21-2004	LeHuec et al.		
7/						

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.'	Foreign Patent Document Country Code ³ -Number - Hind Code ⁶ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁰	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. * Applicant's unique citation designation number (optional). * See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. * Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). * For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. * Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. * Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
			<u> </u>

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Skinature Date Considered 4/29/06

^{&#}x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.